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PTO/SB/05 (12/97)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

044463.0040

First Named Inventor or Application Identifier

Anand Prahlad

Title

Logical View With Granular Access To Exchange Data Managed  
A Modular Data And Storage Management System

Express Mail Label No.

EL 704727535 US

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

6. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification (preferred arrangement set forth below) [Total Pages **20**]

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s) - INCLUDED
- Abstract of the Disclosure - INCLUDED

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identical of above copies

3. ☒ Drawing(s) (35 USC d113) [Total Sheets **8**]

4. Oath or Declaration (including Supplemental Declaration) [Total Pages **6**]

a. ☐ Executed (original or copy)

b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §1.63(d)(2) and 1.33(b)

5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under Box 4b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & Documents(s))

9. ☐ 37 CFR §3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
(PTO/SB/09-12) Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)  
if foreign priority is claimed

16. ☐ Other

\*A new statement is required to be entitled to pay small entity fees, except  
where one has been filed in a prior application and is being relied upon

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of US Patent Application No:

Prior application information: Anticipated Examiner:

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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DATE  
**01/30/01**

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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

(Attorney Docket No. 044463.0040)



**TITLE**

**LOGICAL VIEW WITH GRANULAR ACCESS TO  
EXCHANGE DATA MANAGED BY A  
MODULAR DATA AND STORAGE MANAGEMENT SYSTEM**

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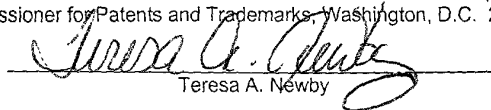
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**CERTIFICATE OF EXPRESS MAILING**

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Date: January 30, 2001

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Teresa A. Newby